

AUS9-2001-1003-US1

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit:

Sean Edward Aschen et al.

Not Yet Assigned

Serial No.:

Not Yet Assigned

Examiner: Not Yet Assigned

IBM Corporation

Filed:

(herewith)

Intellectual Property Law

Internal Zip 4054

Title: A SYSTEM FOR GENERATING USAGE

DATA IN A DISTRIBUTED INFORMATION

11400 Burnet Road

PROCESSING ENVIRONMENT AND METHOD

THEREFOR

Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the aboveidentified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.



AUS9-2001-1003-US1 PATENT

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Barry \$. Newberger

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AUSTIN_1\179347\1 12/10/2001 - 7047-P453US In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE **STATEMENT**

Serial No.: Applicants: Not Yet Assigned

James Edward Doran et al.

Filing Date:

Group:

Herewith

Atty. Docket No.:

Not Yet Assigned AUS9-2001-1003-US1

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	6,240,450 B1	05/29/01	Sharples et al.	709	224	
ABA	6,134,531	10/17/00	Trewitt et al.	705	10	
ACA	5,822,543	10/13/98	Dunn et al.	395	200.54	
ADA	6,061,722	05/09/00	Lipa et al.	709	224	
AEA						
AFA						
AGA						
AHA						
AJA						
AJA						
AKA					-	
ALA	•					
AMA						
ANA						
AOA						
APA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Trans <u>Yes</u>	lation <u>No</u>
AQA							
ARA							
ASA							
ATA							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:	
AUA		
Examiner Initial		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN_1\179348\1 12/10/2001 - 7047-P453US